



AP33635 (067668.0171)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10-18-02

Applicants : Kravitz

Serial No. : 10/015,201 Examiner : To be assigned

Filed : October 19, 2001 Group Art Unit : 2161

For : CRYPTOGRAPHICALLY SECURED INITIALIZATION OF A
MULTI-APPLICATION PLATFORM

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States
Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231

October 3, 2002
Date of Deposit

Andrea Dorigo
Attorney Name

[Signature]
Signature

47,532
PTO Registration No.

October 3, 2002
Date of Signature

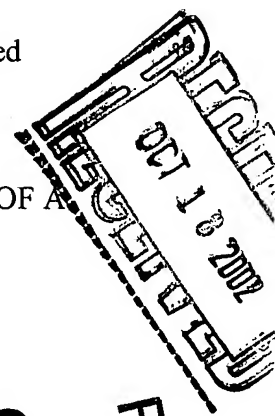
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed herewith are patents and/or publications for consideration by the
Patent and Trademark Office in regard to the invention claimed in the above-described
application. In compliance with §1.56, such documents are listed in the enclosed
Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record
the above-identified documents. Unless otherwise indicated, a full text copy of each
document is attached. For documents not in English, an English translation or an
equivalent English language patent or publication is attached. Where a translation is not
available, a concise explanation of the relevance of each document not in English is
included either here or in the specification.

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This Information Disclosure Statement is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application, or before the first Office Action. Each item of information in this Statement was cited in an International Search Report in a counterpart international application not more than three months prior to the filing of this Statement. A copy of the International Search Report is enclosed.
- II. ☐ This Statement is being filed under §1.97(c), with fee, prior to either a final action or a notice of allowance. A check for the fee required by §1.17(p) and a duplicate copy of this Statement is enclosed.
- III. ☐ This Statement is being filed under §1.97(c), with a statement under, §1.97(e) prior to either a final action or a notice of allowance. The undersigned hereby states that (check one):
- ☐ each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement under §1.97(e) after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.
- IV. ☐ This Statement is being filed under §1.97(d), with petition and statement under §1.97(e), after a final action or a notice of allowance but prior to payment of the issue fee. The undersigned hereby petitions that this Statement be considered prior to issuance of the patent. A check for the fee required by §1.17(i) and a duplicate copy of this statement is enclosed. The undersigned hereby states that (check one):
- ☐ each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of the communication (Written Opinion for the counterpart application PCT/US01/41330) is enclosed. All other references cited in the Written Opinion were previously submitted to or cited by the Patent and Trademark Office in this application and therefore additional copies of this art are unnecessary.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement under §1.97(e) after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

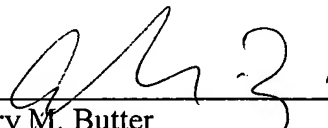
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- V. ☐ Copies of the above-cited art were submitted to or cited by the Patent and Trademark Office under parent application Serial No. ___/___ and therefore additional copies of this art are unnecessary.

The Commissioner is hereby authorized to charge payment of any additional fee to Deposit Account No. 02-4377.

Respectfully submitted,

Dated: October 3, 2002



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Enclosures



Form PTO-1449 U.S. Department of Commerce
(REV. 2-82) Patent and Trademark Office

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

(Use several sheets if necessary)

Atty. Docket No.
AP 33635

Serial No.
10/015,201

Applicant
Kravitz

Filing Date
October 19, 2001

Group
2161

U.S. PATENT DOCUMENTS

*Exam. Init.	Document No.	Date	Name	Class	Subclass	Filing Date if Appro.
	5 6 7 1 2 8 3	9/23/97	Michener et al.	380	25	
	5 9 0 3 7 2 1	5/11/99	Sixtus	395	187. 01	
	5 9 3 5 2 4 8	8/10/99	Kuroda	713	201	
	6 0 1 1 8 4 9	1/4/00	Orrin	380	42	
	6 0 8 5 3 2 0	7/4/00	Kaliski, Jr.	713	168	

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FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Subclass	Translation Yes No

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Examiner

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Atty. Docket No. AP 33635	Serial No. 10/015,201
	Applicant Kravitz	
	Filing Date October 19, 2001	Group 2161

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		Smith, W., Palmer, E.R., and Weingart, S., "Using a High-Performance, Programmable Secure Coprocessor," 2nd International Conference on Financial Cryptography, February 1988, available at www.research.ibm.com/secure_systems/papers/fc98.pdf
		Kravitz, D., Yeoh, K. and So, N., "Secure Open Systems for Protecting Privacy and Digital Services," web document created November 12, 2001, available at www.star-lab.com/sander/spdrm/papers/kravitz.pdf

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Examiner	Date Considered
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* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.